|  |  |
| --- | --- |
|  |  |
| Test Script  SAP S/4HANA - 20-08-20 | public |
| Legal Control (1W8\_DE) |

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# Purpose

This scope item supports global trade compliance with checks of relevant documents for adherence to the defined trade regulations.

You can classify materials, define regulations and licenses, and activate export and import checks for documents and company codes. When sales documents or purchase orders are generated, and documents in violation of the trade policies are blocked, these blocked documents are then resolved to remain compliant.

This document provides a detailed procedure for testing this scope item after solution activation, reflecting the predefined scope of the solution. Each process step, report, or item is covered in its own section, providing the system interactions (test steps) in a table view. Steps that are not in scope of the process but are needed for testing are marked accordingly. Project-specific steps must be added.

# Prerequisites

This section summarizes all the prerequisites for conducting the test in terms of systems, users, master data, organizational data, other test data and business conditions.

## System Access

|  |  |
| --- | --- |
| System | Details |
| System | Accessible via SAP Fiori launchpad. Your system administrator provides you with the URL to access the various apps assigned to your role. |

## Roles

Assign the following business roles to your individual test users. Alternatively, if available, you can create business roles using the following spaces with pages and predefined apps for the SAP Fiori launchpad and assign the business roles to your individual test users.

Note These roles or spaces are examples provided by SAP. You can use them as templates to create your own roles or spaces.

For more information about business roles, refer to Assigning business roles to a user in the [Administration Guide to Implementation of SAP S/4HANA with SAP Best Practices](https://help.sap.com/viewer/S4HANA2020_AdminGuide) .

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name (Role) | ID (Role) | Description (Space) | ID (Space) | Log On |
| Trade Classification Specialist | SAP\_BR\_TRD\_CLS\_SPECIALIST | International Trade Classification | SAP\_BR\_TRD\_CLS\_SPECIALIST |  |
| Internal Sales Representative | SAP\_BR\_INTERNAL\_SALES\_REP | Internal Sales | SAP\_BR\_INTERNAL\_SALES\_REP |  |
| Pricing Specialist | SAP\_BR\_PRICING\_SPECIALIST | Price Management | SAP\_BR\_PRICING\_SPECIALIST |  |
| Trade Compliance Specialist | SAP\_BR\_TRD\_CMPLNC\_SPECIALIST | International Trade Compliance | SAP\_BR\_TRD\_CMPLNC\_SPECIALIST |  |
| Shipping Specialist | SAP\_BR\_SHIPPING\_SPECIALIST | Shipping | SAP\_BR\_SHIPPING\_SPECIALIST |  |
| Purchaser | SAP\_BR\_PURCHASER | Operational Purchasing | SAP\_BR\_PURCHASER |  |
| Configuration Expert - Business Process Configuration | SAP\_BR\_BPC\_EXPERT | Business Process Configuration | SAP\_BR\_BPC\_EXPERT |  |
| Master Data Specialist - Business Partner Data | SAP\_BR\_BUPA\_MASTER\_SPECIALIST | Business Partner | SAP\_BR\_BUPA\_MASTER\_SPECIALIST |  |
| Administrator - International Trade | SAP\_BR\_ADMINISTRATOR\_SLL | International Trade Administration | SAP\_BR\_ADMINISTRATOR\_SLL |  |

## Master Data, Organizational Data, and Other Data

The organizational structure and master data of your company have been created in your system during activation. The organizational structure reflects the structure of your company. The master data represent materials, customers, and vendors, for example, depending on the operational focus of your company.

Use your own master data or the following sample data to go through the test procedure.

|  |  |  |  |
| --- | --- | --- | --- |
| Data | Sample Value | Details | Comment |
| Company Code | 1010 | Company Code 1010 |  |
| Order Type | OR | Standard Sales Order |  |
| Sales Organization | 1010 | Sales Organization for DE |  |
| Distribution Channel | 10 | Direct Sales |  |
| Division | 00 | Product Division 00 |  |
| Sold-To Party | 10100050 | Foreign Customer (US) | International Sales from Germany to US |
| Ship-To Party | 10100050 | Foreign Customer (US) | International Sales from Germany to US |
| Sold-To Party | Please refer to [Create Testing Business Partner for North Korea (KP)](#unique_6) [page ] 18 to create a customer XXXX for KP. | Foreign Customer XXXX (KP) | International Sales from Germany to KP |
| Ship-To Party | The same with the Sold-To Party XXXX. | Foreign Customer XXXX (KP) | International Sales from Germany to KP |
| Plant | 1010 | Plant 1 DE |  |
| Purchase Order Type | NB | Company Code for DE |  |
| Purchase Organization | 1010 | Purchase Org. 1010 |  |
| Purchase Group | 001 | Group 001 |  |
| Supplier | Please refer to [Create Testing Business Partner for North Korea (KP)](#unique_6) [page ] 18 to create a supplier XXXX for KP. Please refer to [Create Testing Supplier](#unique_7)  [page ] 20 to create a supplier XXXX for US. | Foreign Supplier XXXX | International Purchase |
| Goods Supplier | The same with the Supplier XXXX | Foreign Supplier XXXX | International Purchase |
| Material | TG11 and TG0011 | Material Master Data TG11 and TG0011 are used. |  |

For more information on creating master data objects, see the following [Master Data Scripts (MDS)](https://support.sap.com/content/dam/SAAP/Sol_Pack/BP_OP_ENTPR/BP_OP_ENTPR_S4HANA2020_7_Master_Data_EN_XX.htm)

Table 1: Master Data Script Reference

|  |  |
| --- | --- |
| Master Data ID | Description |
| BND | Create Customer Master |
| BNE | Create Supplier Master |

## Additional Manual Configuration

Before you can test this scope item, you must have completed the additional configuration steps that are described in the Set-Up Instructions for this scope item. These configuration steps are specific for your implementation and include mandatory settings that are not delivered by SAP and must be created by you. For more information, refer to the Set-Up Instructions for this scope item on [SAP Best Practices Explorer](https://rapid.sap.com/bp) (https://rapid.sap.com/bp/#/browse/scopeitems/<enter the scope item ID>).

## Preliminary Steps

### Prerequisite Customizing Activities with DEMO Data

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

When the scope item was activated with Demo Data, the system has pre-delivered Demo Data configuration and you still need to maintain the following configurations by customizing activity before performing testing.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Activate Sales Documents | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Sales Documents  Sales Document Type: For example, OR  Trade Compliance: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Sales order type is activated for Trade Compliance. |  |
| 2 | Activate Outbound Delivery Documents | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Outbound Delivery Documents  Delivery Type: for example, LF  Trade Compliance: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Delivery type is activated for Trade Compliance. |  |
| 3 | Activate Checks for Purchasing Documents | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Checks for Purchasing Documents  Purchase Document Type: for example, NB  Trade Compliance: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Purchase order type is activated for Trade Compliance. |  |
| 4 | Activate Checks for Company Codes | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Checks for Company Codes  Company Code: 1010  Activation Level: Company Code Level  Legal Control: <Flag should be checked>  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Company Code 1010 is activated for legal control. |  |
| 5 | Switch Off Subsequent Functions in SD Processes | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Switch Off Subsequent Functions in SD Processes  Choose New Entries.  Trade Regulation Area: 01 Legal Control  Status: B Decision Pending  Subsequent Functions: 03 Outbound Delivery Creation  Condition active: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Outbound delivery creation is not allowed since sales order is blocked by legal control.  Suppressing of outputting corresponding sales documents is also supported.  You can also choose C Blocked which means only confirm blocked Sales orders are not allowed to creating follow-on documents. |  |
| 6 | Control Settings for Legal Regulations | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Legal Control > Control Settings for Legal Regulations  Legal Regulation: for example, ITR01  Product Maint.: B Only Specifically Selected Products Are Relevant for Checks  Numbering Scheme for Control Class: for example, QAL02  Please also set the following flags as Checked:   * Outbound Process * Inbound Process * Third Country Process * EU Processes   BRFplus Application: ITR\_LEGAL\_CONTROL\_RULES  Function for Whitelisting: WHITELISTING  Function for Blacklisting: BLACKLISTING  Function for License Types: LICENSE\_TYPE\_DETERMINATION  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Legal regulation controls the products of which control classes are classified and cover all the sales and purchase processes.  You can take [2748526](https://launchpad.support.sap.com/#/notes/2748526) for your reference to know the details about BRF+ functionality in legal control. |  |

### Prerequisite Customizing Activities Without DEMO Data

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

When the scope item was activated without Demo Data, the system has no pre-delivered Demo Data configuration and you will need to maintain all the following configurations by customizing activity before performing testing.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Activate Sales Documents | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Sales Documents  Sales Document Type: for example, OR  Trade Compliance: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Sales order type is activated for Trade Compliance. |  |
| 2 | Activate Outbound Delivery Documents | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Outbound Delivery Documents  Delivery Type: for example, LF  Trade Compliance: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Delivery type is activated for Trade Compliance. |  |
| 3 | Activate Checks for Purchasing Documents | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Checks for Purchasing Documents  Purchase Document Type: for example, NB  Trade Compliance: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Purchase order type is activated for Trade Compliance. |  |
| 4 | Activate Checks for Company Codes | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Activate Checks for Company Codes  Choose New Entries.  Company Code: 1010  Activation Level: Company Code Level  Legal Control: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Company Code is activated for legal control. |  |
| 5 | Switch Off Subsequent Functions in SD Processes | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Trade Compliance > Switch Off Subsequent Functions in SD Processes  Choose New Entries.  Trade Regulation Area: 01 Legal Control  Status: B Decision Pending  Subsequent functions: 03 Outbound Delivery Creation  Condition active: <Flag should be checked>  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Outbound delivery creation is not allowed since sales order is blocked by legal control.  Suppressing of outputting corresponding sales documents is also supported.  You can also choose C Blocked which means only confirm blocked Sales orders are not allowed to creating follow-on documents |  |
| 6 | Define Legal Regulations | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > General Settings > Legal Regulations > Define Legal Regulations  Choose New Entries.  Legal Regulation: for example, ITR01  Description: Legal Regulation for International Trade  Trade Regulation Area: Legal Control  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Legal regulation is defined.  You can take [2748526](https://launchpad.support.sap.com/#/notes/2748526) for your reference to know the details about BRF+ functionality in legal control. |  |
| 7 | Define Numbering Scheme for Control Classes | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Classification > Control Classes > Define Numbering Scheme for Control Classes  Choose New Entries.  Numbering Scheme: for example, QAL02  Numbering Scheme Description: Control Classes for Legal Regulation ITR01  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Numbering scheme is defined. |  |
| 8 | Define Numbering Scheme Content for Control Classes | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Classification > Control Classes > Define Numbering Scheme Content for Control Classes  Choose New Entries.  No.Scheme Content: for example, QAL02  No. Scheme Content Description: for example, Control Classes Content for Legal Regulation ITR01  Content Source: Local  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Numbering scheme content is defined for control class. |  |
| 9 | Define Numbering Scheme Content for Control Classes (Optional) | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Classification > Control Classes > Define Numbering Scheme Content for Control Classes  Choose New Entries.  No.Scheme Content: QAL02\_DP  No. Scheme Content Description: Control classes provided by data provider  Content Source: Data Provider  Content Reference: <Please check with data provider>  Data Provider: \_A Bundesanzeiger  Classification Number Length: <Please check with data provider>  Choose Save. | It’s an optional step if the control classes are provided by Data Provider.  The numbering scheme content is activated for control class.  The data provider information depends on which data provider customers use. |  |
| 10 | Activate Numbering Scheme Content for Control Classes | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Classification > Control Classes > Activate Numbering Scheme Content for Control Classes  Enter Numbering Scheme: for example, QAL02, then click Enter.  Choose New Entries.  Valid From: 01/01/2017  Valid To: 12/31/9999  No. Scheme Content: For example, QAL02  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Numbering scheme content is activated for control class. |  |
| 11 | Activate Legal Regulations | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Legal Control > Activate Legal Regulations  Choose New Entries.  Legal Regulation: for example, ITR01  Country:DE  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Legal regulation is activated for specific country. |  |
| 12 | Control Settings for Legal Regulations | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Legal Control > Control Settings for Legal Regulations  Choose New Entries.  Legal Regulation: for example, ITR01  Product Maint.: B Only Specifically Selected Products Are Rel-evant for Checks  Numbering Scheme for Control Class: for example, QAL02  Please also set the following flags as Checked:   * Check Outbound Process * Check Inbound Process * Check Third Country Process * Check EU Processes   BRFplus Application: ITR\_LEGAL\_CONTROL\_RULES  Function for Whitelisting: WHITELISTING  Function for Blacklisting: BLACKLISTING  Function for License Types: LICENSE\_TYPE\_DETERMINATION  Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | Legal regulation controls the products which control classes are classified and cover all the sales processes. |  |
| 13 | Define License Type | Access the activity using the following navigation option:  SAP IMG Path: SPRO > Govemance, Risk and Compliance > International Trade > Legal Control > Define License Types  Legal Regulation: for example, ITR01  Choose New Entries.  License Type: LTY01 License type for legal regulation ITR01 - Outbound  License Category: 02 General License  Please set the following General Attributes as Checked:   * Valid for Outbound Processes * Country * Control Class   Choose Save.  Choose New Entries.  License Type: LTY02 License type for legal regulation ITR01 - Inbound  License Category: 02 General License  Please set the following General Attributes as Checked:   * Valid for Inbound Processes * Country * Control Class   Choose Save.  You may be asked to enter a customizing request number, either choose your own customizing request or create a new one, and choose Continue. | License type is defined. |  |

### Create Testing Business Partner

#### Create Testing Business Partner for North Korea (KP)

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create a business partner for North Korea (KP) for legal control testing. Use the following entries (from step 1 to step 3) and refer to master data script BND document to create a customer master record with the country key KP. Use the following entries (step 4) and refer to master data script BNE document to create a supplier master record with the same ID as the customer. The business partner is only for Decision Table for Blacklisting, including import and export process.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Creating Customer Master Data - General Data | Make the following entries:  Business Partner: <Enter a Business Partner ID that starts with a character>  Grouping: External alpha-numeric numbering  Title: 0003 -Company  Name: Test customer for legal control  Postal Code/City: 999093/ Pyongyang  Country: KP (North Korea)  Language: English | The KP customer XXXX with general data is created. |  |
| 2 | Create Customer Master Data - Company Code Data | Make the following entries:  Company Code: 1010  Reconciliation Account: 12120000 (Trade Receivables Foreign)  Terms of Payment: 0001 (Payable immediately Due net) | The KP customer XXXX with company code level data is created. |  |
| 3 | Create Customer Master Data - Sales Area Data | Make the following entries:  Sales Org.: 1010  Distr. Channel:10  Division: 00  Currency: EUR  Delivery Priority: 02 Normal  Delivering Plant: 1010DEPlant  Shipping Conditions: 01 Standard  Incoterms: <EXW/Location of seller>  Payment Terms: 0001 (Payable immediately Due net)  AcctAssgGr: <Account assignment group> 02 Foreign Revenues  Tax Classification: 0 Tax Empty  Partner Function:  SP - Sold-To Party  BP - Bill-To Party  PY - Payer  SH - Ship-To Party | KP customer XXXX with sales area level data is created. |  |
| 4 | Create Supplier Master Data - Purchasing Organization Data | Make the following entries:  Purchase Org.: 1010  Currency: EUR  Partner Function:  VN - Supplier  PI - Invoicing Party  GS - Goods Supplier | KP supplier XXXX with purchasing organization data is created. |  |

#### Create Testing Supplier

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step you can create a supplier for US for legal control testing. Use the following entries and refer to master data script BNE Create Supplier Master to create a supplier master record with the country/region key US.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Creating Supplier- General Data | Make the following entries:  Business Partner: <Enter a Business Partner ID that starts with a character>  Grouping: External alpha-numeric numbering  Title: 0003-Company  Name: Test business partner for legal control  Postal Code/City: 70817-3609/ Baton Rouge  Country: US (USA)  Region: LA  Language: English | The Supplier XXXX with general data is created. |  |
| 2 | Create Supplier - Company Code Data | Make the following entries:  Company Code: 1010  Reconciliation Account: 21200000 (Payables Foreign)  Terms of Payment: 0001 (Payable immediately Due net) | The Supplier XXXX with company code level data is created. |  |
| 3 | Create Supplier - Purchasing | Make the following entries:  Purch. Organization: 1010  Currency: EUR  Partner Function:  VN - Supplier  PI - Invoicing Party  GS - Goods Supplier | The Supplier XXXX with purchasing level data is created. |  |

### Maintain Condition Record

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

To ensure Sales Orders with international business partner be created successfully, corresponding Condition Record needs to be maintained.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On to SAP Fiori Launchpad | Log on to the SAP Fiori Launchpad using the role Pricing Specialist. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the Fiori App | Open the Set Material Prices - Sales (VK12) app. | The Change Condition Records view is displayed. |  |
| 3 | Choose Condition Type | In the Change Condition Records view, maintain below information:  Condition Type: PPR0  Then choose Enter, and in the Key Combination window, select Material with release status and choose Choose.  Condition Type: TTX1  Then choose Enter, and in the Key Combination window, select Export Taxes and choose Choose. | If PPR0 is missing when creating sales order, please maintain condition type PPR0.  If TTX1 is missing when creating sales order, please maintain condition type TTX1. |  |
| 4 | Maintain Condition Record: Change Price | In the Change Price(PPR0): Selection view, maintain below information:  Sales Organization: 1010  Distribution Channel: 10  Material (deprecated) - Post load validation (F2615): TG0011  Then choose Execute. |  |  |
| 5 | Maintain Condition Record: Change Pricing Condition | In the Change Pricing Condition (PPR0): Overview view, maintain a new entry with below information:  Material (deprecated) - Post load validation (F2615): TG0011  Amount: 5  Valid From: For example, current date  Valid To: 12/31/9999  Choose Save to save the change. |  |  |
| 6 | Maintain Condition Record: Change Output Tax | In the Change Output Tax (TTX1): Selection view, maintain below information:  Country: DE  Then choose Execute. |  |  |
| 7 | Maintain Condition Record: Change Output Tax Condition | In the Change Output Tax Condition (TTX1): Overview view, maintain a new entry with below information:  Destination Country: KP  TaxClass1-Cust.: 0  Tax Class. Material: 1  Valid From: For example, current date  Valid To: 12/31/9999  Tax Code: <Please select appropriate tax code, for example, A0 for DE>  Choose Save to save the change.  The above Countries are only used as examples to showcase how to maintain condition records. Please adjust accordingly against your business requirement. | Records are maintained. |  |

# Overview Table

If your system administrator has enabled spaces and pages on the SAP Fiori launchpad, the homepage will only contain the essential apps for performing the typical tasks of a business role.

You can find all other apps not included on the homepage using the search bar.

If you want to personalize the homepage and include the hidden apps, navigate to your user profile and choose Settings > App Finder .

Table 2: Basic Process of Legal Control

|  |  |  |  |
| --- | --- | --- | --- |
| Process Step | Business Role | App/Transaction | Expected Results |
| [Manage Control Classes](#unique_13) [page ] 28 | Trade Classification Specialist | Manage Control Classes (F2518) | Control Class is created / changed. |
| [Manage Control Classes from Data Provider (Optional)](#unique_14) [page ] 29 | Administrator - International Trade | Manage Control Class from Data Provider (Optional) | The control class of Numbering scheme con-tent is active. |
| [Manage Control Groupings (Optional)](#unique_15) [page ] 32 | Trade Classification Specialist | Manage Control Groupings (F2515) | Control Grouping is created / changed. |
| [Classify Products - Legal Control](#unique_16) [page ] 33 | Trade Classification Specialist | Classify Products - Legal Control (F2390) | Control Class is assigned to products. |
| [Reclassify Products - Legal Control (Optional)](#unique_17) [page ] 35 | Trade Classification Specialist | Reclassify Products - Legal Control (F2391) | Control Class is changed for products. |
| [Option 1: Create Sales Order](#unique_18) [page ] 38 | Internal Sales Representative | Manage Sales Orders - Services (F0804) | Sales order is created. |
| [Option 2: Create Purchase Order](#unique_19) [page ] 39 | Purchaser | Create Purchase Order - Advanced (ME21N) | Purchase order is created. |
| [Manage Documents - Trade Compliance](#unique_20) [page ] 41 | Trade Compliance Specialist | Manage Documents - Trade Compliance (F2826) | Legal Control blocks on sales orders and deliveries are displayed. |
| [Manage Licenses - Trade Compliance](#unique_21) [page ] 42 | Trade Compliance Specialist | Manage Licenses (F2545) | Export/Import licenses are created/changed. |
| [Display License Assignments](#unique_22) [page ] 49 | Trade Compliance Specialist | Display License Assignments - Trade Compliance (F4047) | You can view the license assignments for documents. |
| [Resolve Blocked Documents - Trade Compliance](#unique_23) [page ] 44 | Trade Compliance Specialist | Resolve Blocked Documents - Trade Compliance (F2792) | Legal Control blocks on sales orders/ purchaser orders are resolved. |
| [Option 1: Create Outbound Delivery](#unique_24) [page ] 46 | Shipping Specialist | Create Outbound Deliveries - From Sales Orders (F0869A) | Outbound delivery is created. |
| [Option 2: Purchase Order Status](#unique_25) [page ] 47 | Purchaser | Manage Purchase Orders (F0842A) | No error message against legal control. |

Table 3: Decision Table for Whitelisting

|  |  |  |  |
| --- | --- | --- | --- |
| Process Step | Business Role | App/Transaction | Expected Results |
| [Manage Control Classes](#unique_26) [page ] 50 | Trade Classification Specialist | Manage Control Classes (F2518) | Control class is created. |
| [Classify Products - Legal Control](#unique_27) [page ] 52 | Trade Classification Specialist | Classify Products - Legal Control (F2390) | Control class is assigned. |
| [Maintain Decision Table for Whitelisting](#unique_28) [page ] 53 | Trade Compliance Specialist | Manage Legal Control Rules (W0127) | Objects are saved and activated. |
| [Option 1: Create Sales Order](#unique_29) [page ] 55 | Internal Sales Representative | Create Sales Orders - VA01 (VA01) | Sales order is saved. |
| [Option 2: Create Purchase Order](#unique_30) [page ] 56 | Purchaser | Create Purchase Order - Advanced (ME21N) | Purchase order is created. |
| [Manage Documents - Trade Compliance](#unique_31) [page ] 58 | Trade Compliance Specialist | Manage Documents - Trade Compliance (F2826) | Sales order and purchase orders have been released by system check. |

Table 4: Decision Table for Blacklisting

|  |  |  |  |
| --- | --- | --- | --- |
| Process Step | Business Role | App/Transaction | Expected Results |
| [Maintain Decision Table for Blacklisting](#unique_32) [page ] 59 | Trade Compliance Specialist | Manage Legal Control Rules (W0127) | Objects are saved and activated. |
| [Option 1: Create Sales Order](#unique_33) [page ] 61 | Internal Sales Representative | Create Sales Orders - VA01 (VA01) | Sales order is saved. |
| [Option 2: Create Purchase Order](#unique_34) [page ] 62 | Purchaser | Create Purchase Order - Advanced (ME21N) | Purchase Order is created. |
| [Manage Documents - Trade Compliance](#unique_35) [page ] 64 | Trade Compliance Specialist | Manage Documents - Trade Compliance (F2826) | Sales order and purchase orders have been confirmed block by system check. |

Table 5: License Types based on Control Class and Country

|  |  |  |  |
| --- | --- | --- | --- |
| Process Step | Business Role | App/Transaction | Expected Results |
| [Maintain License Types based on Control Class and Country](#unique_36) [page ] 65 | Trade Compliance Specialist | Manage Legal Control Rules (W0127) | Objects are saved and activated. |
| [Option 1: Create Sales Order](#unique_37) [page ] 67 | Internal Sales Representative | Create Sales Orders - VA01 (VA01) | Sales order is saved. |
| [Option 2: Create Purchase Order](#unique_38) [page ] 69 | Purchaser | Create Purchase Order - Advanced (ME21N) | Purchase order is created. |
| [Manage Documents - Trade Compliance](#unique_39) [page ] 70 | Trade Compliance Specialist | Manage Documents - Trade Compliance (F2826) | Sales order and purchase orders have been released by system check; the licenses have been assigned to respective sales orders and purchase orders. |

# Test Procedures

This section describes test procedures for each process step that belongs to this scope item. The test procedures are include import process and export process to check documents if them are in violation of the trade policies. Option 1 is the export process to check the trade compliance. Option 2 is the import process to check the trade compliance.

## Basic Process of Legal Control

### Manage Control Classes

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can define a control class, which will be assigned to products for legal control.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Classification Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Control Classes (F2518). |  |  |
| 3 | Control Class Worklist | No. Scheme Content: QAL02  Choose GO. | Control Class related to QAL02 displays. |  |
| 4 | Create Control Class | Choose .  Enter Control Class: CC01\_TEST  Official Description: <description>  Valid From: for example, 07/25/2017  Valid To: for example, 12/30/9999  Choose Save. | Control Class CC01\_TEST is created. |  |

### Manage Control Classes from Data Provider (Optional)

If the Control Classes will be provided by Data Provider, below steps should be maintained.

Additional Manual Configuration:

To manage control classes from a Data Provider, you need to complete the additional and mandatory configuration steps that are described in the Set-up Instructions for this scope item. These configuration steps are specific for your implementation. These include mandatory settings that are not delivered by SAP and must be created by you. For more information, see the Set-up Instructions for this scope item on SAP Best Practices Explorer (https://rapid.sap.com/bp/#/browse/scopeitems/<enter the scope item ID>).

Additional Information:

To manage control classes from a Data Provider, you need to have a commercial contract with Data Provider.

#### Schedule Control Classes Content Request to Data Provider (Background Job)

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this activity, you schedule a background job which can trigger a content request automatically.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as Administrator - International Trade. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Schedule Content Request to Data Provider – Control Classes. |  |  |
| 3 | Schedule Control Classes Content Request to Data Provider by Background Job | In the overview view, choose Create.  In the New Job view, make the following entries:  Job Template: Schedule Content Request to Data Provider – Control Classes  Choose Step 2.  Start Immediately: <Select>  Choose Step 3.  No. Scheme Content: QAL02\_DP  Request Initial Version: <Select>  Choose Schedule. | Job is scheduled and shown in the list. |  |

#### Manage Control Classes Content from Data Provider

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this activity, you can monitor the application log.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as Trade Classification Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Content from Data Provider - Control Classes. |  |  |
| 3 | Active the Control Classes | In the overview view, make the following entries and choose Go:  No. Scheme Content: QAL02\_DP  Data Provider ID: \_A  Choose the No. Scheme Content and click Active.  The Data Provider ID should be unified with the communication arrangement in the setup Instruction. | The control classes of numbering scheme content is active. |  |
| 4 | View Application Log (Optional) | In the Manage Content from Data Provider - Control Classes view, if the content consistency is marked failed, choose the content consistency and view the application log.  Choose the log and check the information description. |  |  |

### Manage Control Groupings (Optional)

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can define a control group, which will be assigned to products for legal control when Control Grouping Activated is checked for legal regulation ITR01.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Classification Specialist. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Control Groupings (F2515). | The Control Groupings view appears. |  |
| 3 | Control Grouping Worklist | Legal Regulation: ITR01  Choose GO. | Control Grouping related to ITR01 will be displayed. |  |
| 4 | Create Control Grouping | Choose .  Enter Control Groping Name: CG01\_TEST  Description: Control grouping test for ITR01  Choose Save. | Control Grouping CG01\_TEST is created. |  |

### Classify Products - Legal Control

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can assign the control class, which is maintained in a previous step to the product.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Classification Specialist. | The SAP Fiori launchpad is displayed. |  |
| 2 | Access the SAP Fiori App | Open Classify Products - Legal Control (F2390). | The Classify Products - Legal Control view displays. |  |
| 3 | Classify Product Worklist | Legal Regulation: ITR01  Valid On: for example, 25/07/2017  Product : TG11  Choose GO.  You can select your own product in the worklist which has not been classified. | Product: TG11 displays in the worklist. |  |
| 4 | Classify Product | Select Product TG11 and choose Classify.  Check Control Relevant flag.  Classification Period: for example, 25/07/2017 to 30/12/9999  Control Class: CC01\_TEST  Remark: <your remark>  Choose Save.  You can also assign Control Grouping CG01\_TEST when Control Grouping Activated is checked for legal regulation ITR01. | Control Class CC01\_TEST is assigned to Product TG11. |  |

### Reclassify Products - Legal Control (Optional)

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can reassign the control class which is maintained in a previous step to the product.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Classification Specialist. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Reclassify Products - Legal Control (F2391). | The Reclassify Products - Legal Control view appears. |  |
| 3 | Create New Control Class | Please refer to step [Manage Control Classes](#unique_13) [page ] 28 to create new control class CC02\_TEST. | Control Class CC02\_TEST is created. |  |
| 4 | Reclassify Product Worklist | Legal Regulation: ITR01  Valid On: for example, 25/07/2017  Product (F2773): TG11  Choose GO． | Product: TG11 displays in the worklist. |  |
| 5 | Reclassify Product | Select Product TG11 and choose Reclassify  Check Control Relevant flag  Classification Period: 25/07/2017 to 30/12/9999  Control Class: CC02\_TEST  Remark: <your remark>  Choose SAVE. | Control Class CC02\_TEST is assigned to Product TG11. |  |

### Display Classified Products

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can view the license assignments for document items such as purchase orders, sales orders, and outbound deliveries.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Trade Classification Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Display Classified Products - International Trade (F3789). |  |  |
| 3 | Enter Filters | In the Display Classified Products view, make the following entries and choose Go:  Plant: <Enter the plant, for example, 1010> |  |  |
| 4 | Display the List for Classified Products | In the Display Classified Products view, choose the product. |  |  |
| 5 | Display Classification Data for Product | In the Display Classified Products - International Trade view, you can find different tabs for the classification data including Commodity Codes, Customs Tariff Numbers, Legal Control and Intrastat Service Codes.  Click the Numbering Scheme you maintained for the product, for example, Legal Regulation for International Trade (ITR01). | The Classification for Legal Control displays. |  |
| 6 | Display the Classification History for Control Class | In the Legal Control view, you can find the classification history of control classes for the product with validation period.  In the Change Documents tab, you can see the details of changed data including old and new values, created by, created on, and change types for the fields belonging to classification.  You can follow the same steps to display other classification data like customs tariff numbers for the product. | The classification history of control classes for the product with validation period displays. |  |

### Option 1: Create Sales Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create a sales order which triggers the Legal Control export license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as an Internal Sales Representative. | The SAP Fiori launchpad is displayed. |  |
| 2 | Access the SAP Fiori App | Open Create Sales Orders - VA01 (VA01). | The Create Standard Order: Overview view appears. |  |
| 3 | Create Sales Order | Order Type: OR  Sales Organization: 1010  Distribution Channel: 10  Division: 00  Choose Continue. |  |  |
| 4 | Enter Sales Order Item Data and Save | Sold-To Party: 1010<0050>  Ship-To Party:1010<0050>  Cust. Reference: Legal control testing  Material (deprecated) - Post load validation (F2615): TG11  Order Quantity: 10  Plant: 1010  Choose Save. | Standard Order XXXX has been saved. |  |

Note If the sales order requires approval, follow the Process Sales Order Approval (Optional) steps described in the Sell from Stock (BD9) test script.

### Option 2: Create Purchase Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create a purchase order which triggers the Legal Control import license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Purchaser. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Create Purchase Order - Advanced (ME21N). | The Create Purchase Order - Advanced (ME21N) view displays. |  |
| 3 | Create Advanced Purchase Order | Make the following entries:  Order type: NB Standard PO  Supplier (F0354): Above created US supplier XXXX  In Header section, on the Org. Data tab:  Purchasing Org.: 1010  Purchasing Group:001  Company Code: 1010  In Items section,  Material (deprecated) - Post load validation (F2615):TG11  Plant: 1010  Quantity: x  Net Price: x |  |  |
| 4 | Save Purchase Order | Choose Save and choose Hold.  Choose Display Messages. The message Schedule Line 1 (Item 10) is blocked by legal control (Intern. Trade). could be found. | International Purchase Order is created. |  |

Stock transfer order is a special type of purchase order which transfers materials between two plants. We support legal control checks of stock transfer orders for both the receiving plant’s import check and the supplying plant’s export check. We support legal control check on purchase scheduling agreements only when creating scheduling agreements with Manage Purchase Scheduling Agreements (F2179), and you must make sure to add the schedule lines into scheduling agreements before saving the preparation status.

### Manage Documents - Trade Compliance

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can check the status of sales order as well as purchase order if it is blocked by legal control.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Trade Compliance Specialist. | The SAP Fiori launchpad is displayed. |  |
| 2 | Access the SAP Fiori App | Open Manage Documents - Trade Compliance (F2826). | The Manage Documents - Trade Compliance view displays. |  |
| 3 | Document Worklist | In the overview view, make the following entries:  Document Number: XXXX  Choose GO. | Sales order XXXX (created in the previous step) displays with Blocking Status Blocked. |  |
| 4 | Document Worklist | In the overview screen, make the following entries:  Document Number: XXXX  Choose GO. | Purchase order document XXXX (created in the previous step) displays with Blocking Status Blocked. |  |

### Manage Licenses - Trade Compliance

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can maintain export and import license for trade compliance.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Trade Compliance Specialist. | The SAP fiorilaunchpad is displayed. |  |
| 2 | Access the SAP Fiori app | Open Manage Licenses (F2545). | The Manage License screen is displayed. |  |
| 3 | Licenses Worklist | Make the following entries and choose Go:  Legal Regulation: ITR01 | Licenses with type LTY01 and ITR02 is displayed in the worklist. |  |
| 4 | Create License | Choose Create and make the following entries:  License Owner: 1010  Legal Regulation: ITR01  License Type: LTY01  Choose OK. |  |  |
| 5 | Maintain General Information | In the overview view, make the following entries:  Official License No.: <Export License for 1W8>  Valid From: for example, 25/07/2017  Valid To: for example,25/07/2018 | General information is maintained. |  |
| 6 | Maintain Control Classes | Choose Create and make the following entry:  Control Class: CC01\_TEST | Control class is assigned to license. |  |
| 7 | Maintain Partner Countries | Choose Create and make the following entry:  Partner's Country: US | Destination Country is assigned to license. |  |
| 8 | Save License | Choose Save. | License XXXXXXXX is created. |  |
| 9 | Activate License | Choose Set to Active. | License XXXXXXXX status is License Active(B). |  |
| 10 | Create License | Go back and choose Create. Make the following entries:  License Owner: 1010  Legal Regulation: ITR01  License Type: LTY02  Choose OK. |  |  |
| 11 | Maintain General Information | In the overview view, and make the following entries:  Official License No.: <Import License for 1W8>  Valid From: for example, 25/07/2017  Valid To: for example,25/07/2018 | General information is maintained. |  |
| 12 | Maintain Control Classes | Choose Create and make the following entry:  Control Class: CC01\_TEST | Control class is assigned to license. |  |
| 13 | Maintain Partner Countries | Choose Create and make the following entry:  Partner's Country: US | Partner Country is assigned to license. |  |
| 14 | Save License | Choose Save. | License XXXXXXXX is created. |  |
| 15 | Activate License | Choose Set to Active. | License XXXXXXXX status is License Active(B). |  |

You can also click ‘Related Apps’ button to navigate from License Master to app Display License Assignments. Additionally, a daily background job is scheduled to auto set licenses with an expired Valid-To date to status License Expired (C).

### Resolve Blocked Documents - Trade Compliance

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can recheck and resolve blocked sales documents as well as purchase orders.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Compliance Specialist. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Resolve Blocked Documents - Trade Compliance (F2792). | The Resolve Blocked Documents - Trade Compliance view displays. |  |
| 3 | Sales Document Worklist | Document Number: <XXXX>  Choose GO.  Sales order number XXXX was created in the previous procedure. | Sales document XXXX displays with blocking reason. |  |
| 4 | Release Sales Document | Select Document Number: <XXXX>  Go to the Block screen by choosing the sales document.  Input the License number and choose Save.  You can go to Manage Documents - Trade Compliance (F2826) to see that the status of SO is released. You can also check the reason for your information. | Sales Order XXXX is released. |  |
| 5 | Release Purchase Document | Go back and select Document Number: <XXXX>  Go to the Block screen by choosing the purchase order.  Input the license number and choose Save.  You can go to Manage Documents - Trade Compliance (F2826) to see that the status of PO is released. You can also check the reason for your information. | Purchase Order XXXX is released. |  |

### Option 1: Create Outbound Delivery

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create outbound delivery to trigger legal control export license check.

Note Due to the configuration of Switch Off Subsequent Functions in SD Processes in SSCUI, the related sales order should be released for legal control in the previous step. Otherwise, you are not allowed to create the delivery and would get the error message Schedule Line is blocked by Legal Control (International Trade).

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Shipping Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Create Outbound Deliveries - From Sales Orders (F0869A). | The Create Outbound Deliveries From Sales Orders screen appears. |  |
| 3 | Search Sales Order | Mark the following entries and choose GO:  Shipping Point: 1010  Planned Creation Date: <Delivery selection date>  SD Document: <XXXX> |  | Sales order number XXXX was created in step [Option 1: Create Sales Order](#unique_18) [page ] 38. |
| 4 | Create Delivery | Select your sales order items and choose Create Deliveries. | Creation of a delivery is triggered. |  |
| 5 | Check Legal Control Status | Please refer to previous steps from [Option 1: Create Sales Order](#unique_18) [page ] 38 to [Manage Licenses - Trade Compliance](#unique_21) [page ] 42 for releasing delivery. | Delivery is released by legal control. |  |

### Option 2: Purchase Order Status

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can check the status of purchase order.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Purchaser. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Purchase Orders (F0842A). | The Manage Purchase Orders (Version 2) view appears. |  |
| 3 | Search Purchase Order | Mark the following entries and choose GO:  Purchase Order: <XXXX>  Purchase order number XXXX was created in previous step |  |  |
| 4 | Check Purchase Orer Messages | No messages regarding legal control blocked could be displayed. |  |  |

### Schedule Recheck Documents Background Job for Trade Compliance (Optional)

Purpose

In this activity, you schedule a background job that can schedule job requests automatically for mass recheck of the trade compliance documents.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On to SAP Fiori Launchpad | Log on to the SAP Fiori launchpad using the role Trade Compliance Specialist. | The SAP Fiori launchpad is displayed. |  |
| 2 | Access the SAP Fiori App | Open Schedule Recheck Documents - Trade Compliance (F4285). | The overview screen appears. |  |
| 3 | Schedule Mass Recheck Documents by Background Job | Choose Create. In the New Job screen, make the following entries:  Job Template: Schedule Recheck of Trade Compliance Documents  Choose Step 2.  Start Immediately: <Select the checkbox>  Choose Step 3.  Document Date: <Select a date range>  Document Category: <Sales order, for example>  Company Code: 1010  Choose Schedule. | The job is scheduled and shown in the list. You can click on i in the Log column to see the log details. |  |

### Display License Assignments

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can view the license assignments for document items, such as from purchase orders, sales orders, and outbound deliveries.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Trade Compliance Specialist. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori app | Open Display License Assignments - Trade Compliance (F4047). | The Display License Assignments screen appears. |  |
| 3 | Search Licenses | Make the following entries and choose Go:  License Owner: <enter the company code>, for example 1010 | The licenses with assigned documents appear. |  |
| 4 | Display Assigned Documents to License | You can select a license item to see the document assignments and details for that license. | The License with Documents Assigned view displays. |  |
| 5 | Export Document Assignments | You can see the general information for this license in the header level. You can also see all the assigned document items in the table. To download the document assignments for this license, choose Export to Excel button . | The document assignments are exported to Excel. |  |

## Manage Legal Control Rule for Whitelisting

### Manage Control Classes

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step you can define control class, which will be assigned to products for legal control.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Classification Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Control Classes (F2518). | The Control Class view displays. |  |
| 3 | Control Class Worklist | In the filter bar, make the following entries:  No. Scheme Content: QAL02  Choose Go. | Control Class view related to QAL02 displays. |  |
| 4 | Create Control Class | Choose Add and make the following entries:  Control Class Name: CC03\_TEST  Official Description: <description>  Valid From: For example, 25/07/2017  Valid To: For example, 30/12/9999  Choose Save. | Control Class CC03\_TEST is created. |  |

### Classify Products - Legal Control

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step you can assign the control class, which is maintained in previous step to the product.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Classification Specialist. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Classify Products - Legal Control (F2390). | The Classify Products - Legal Control view displays. |  |
| 3 | Control Class Worklist | Legal Regulation: ITR01  Valid On: For example, 25/07/2017  Product: TG0011  Choose Go. | Product: TG0011 is displayed in the worklist.  If the material of TG0011 is classified, reprocess Reclassify Products - Legal Control (Optional) section to reclassify TG0011 to Control Class CC03\_TEST. |  |
| 4 | Create Control Class | Select Product TG0011 and choose Classify.  Check the Control Relevant flag.  Classification Period: for example, 25/07/2017 to 30/12/9999  Control Class: CC03\_TEST  Remark: <your remark>  Choose Save. | Control Class CC03\_TEST is assigned to Product TG0011. |  |

### Maintain Decision Table for Whitelisting

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step you can maintain the decision table for whitelisting with legal regulation, control class, partner country/region, and release status. It then manages the sales document to released status.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Compliance Specialist. |  |  |
| 2 | Access the SAP Fiori App | Open Manage Legal Control Rules (W0127). | The Legal Control Rule Maintenance screen is displayed. |  |
| 3 | Legal Control Rules | In the filter bar, make the following entries:  Show Rules for: Legal Regulation for International Trade  Rule: Whitelisting | The Table Contents screen is displayed. |  |
| 4 | Maintain Business Rules | Choose Edit.  Choose Add.  Make the following entries:  Legal Regulation: Direct value input : is equal to ITR01.  Choose OK.  Control Class: Direct value input : is equal to CC03\_TEST.  Choose OK.  Partner Country: Direct value input : is equal to US(the USA)  Choose OK.  Release Status: Direct value input: is equal to X(Yes).  Choose OK.  Choose Activate. | Objects saved and activated. |  |

### Option 1: Create Sales Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create sales order to trigger legal control export license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as an Internal Sales Representative. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Create Sales Orders - VA01 (VA01). | The Create Standard Order: Overview view displays. |  |
| 3 | Create Sales Order | Make the following entries:  Order Type: OR  Sales Organization: 1010  Distribution Channel: 10  Division: 00  Choose Continue. |  |  |
| 4 | Enter Sales Order Item Data and Save | Make the following entries:  Sold-To Party: 10100050  Ship-To Party:10100050  Cust. Reference: Legal control testing  Material (deprecated) - Post load validation (F2615): TG0011  Order Quantity: 1  Plant: 1010  Choose Save.  If the message  Pricing/euro: Attention: Euro Customizing not maintained  is displayed, please skip this message and choose Enter. | Standard Order XXXX is saved. |  |

### Option 2: Create Purchase Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create a purchase order which triggers the Legal Control import license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Purchaser. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Create Purchase Order - Advanced (ME21N). | The Create Purchase Order - Advanced view displays. |  |
| 3 | Create Purchase Order - Advanced | Enter all of the necessary data,  Order type: NB Standard PO  Supplier : Above created US supplier <XXXX>.  In the Header section, on the Org. Data tab,  Purchasing Org.: 1010  Purchasing Group: 001  Company Code: 1010  In the Items section,  Material (deprecated) - Post load validation (F2615):TG0011  Plant: 1010  Quantity: x  Net Price: x |  |  |
| 4 | Save Purchase Order | Choose Save. |  |  |

### Manage Documents - Trade Compliance

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can check sales order and purchase order status if it is released by legal control.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Trade Compliance Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Documents - Trade Compliance (F2826). | The Manage Documents - Trade Compliance view displays. |  |
| 3 | Sales Document Worklist | In the overview view, make the following entries:  Document Number: XXXX  Choose Go. | Sales document XXXX displays with Blocking Status Released. You can also check the reason for your information.  Sales order number XXXX was created in the previous step Option 1: Create Sales Order. |  |
| 4 | Document Worklist | In the overview screen, make the following entries:  Document Number: XXXX  Choose Go. | Purchase order document XXXX displays with Blocking Status Released. You can also check the reason for your information.  Purchase Order number XXXX was created in the previous step Option 2: Create Purchase Order. |  |

## Manage Legal Control Rule for Blacklisting

### Maintain Decision Table for Blacklisting

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step you can maintain the decision table for blacklisting with legal regulation, control class, partner country and release status. Then it will manage the sales documents and purchase orders to confirm blocked status.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Compliance Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Legal Control Rules (W0127). | The Legal Control Rule Maintenance view displays. |  |
| 3 | Legal Control Rules | In the filter bar, make the following entries:  Show Rules for: Legal Regulation for International Trade  Rule: Blacklisting | The Table Contents view displays. |  |
| 4 | Maintain Business Rules | Choose Edit.  Choose Add.  Make the following entries:  Legal Regulation: Direct value input : is equal to ITR01.  Choose OK.  Control Class: Direct value input : is equal to CC01\_TEST.  Choose OK.  Partner Country: Direct value input: is equal to KP(North Korea).  Choose OK.  Blocking Status: Direct value input: is equal to X(Yes).  Choose OK.  Choose Activate. | Objects are saved and activated. |  |

### Option 1: Create Sales Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create sales order to trigger legal control export license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as the Internal Sales Representative. | The SAP Fiori launchpad displays . |  |
| 2 | Access the SAP Fiori App | Open Create Sales Orders - VA01 (VA01). | The Create Standard Order: Overview view displays. |  |
| 3 | Create Sales Order | Make the following entries:  Order Type: OR  Sales Organization: 1010  Distribution Channel: 10  Division: 00  Choose Continue. |  |  |
| 4 | Enter Sales Order Item Data and Save | Make the following entries:  Sold-To Party: <the KP customer XXXX created in the previous step>  Ship-To Party:<the KP customer XXXX created in the previous step>  Cust. Reference: Legal control testing  Material : TG11  Order Quantity: 1  Plant: 1010  Choose Save. | Standard Order XXXX is saved. |  |

### Option 2: Create Purchase Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create a purchase order which triggers Legal Control import license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Purchaser. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Create Purchase Order - Advanced (ME21N). | The Create Purchase Order Advanced view displays. |  |
| 3 | Create Advanced Purchase Order | Make the following entries:  Order type: NB Standard PO  Supplier : Above created KP supplier <XXXX>.  In the Header section, on the Org. Data tab,  Purchasing Org.: 1010  Purchasing Group: 001  Company Code: 1010  In the Items section,  Material :TG11  Plant: 1010  Quantity: x  Net Price: x |  |  |
| 4 | Save Purchase Order | Choose Save, then choose Hold.  Click Display messages. The message  Schedule Line 1 (Item 10) is blocked by legal control (Intern. Trade).  is found. | International Purchase Order is saved. |  |

### Manage Documents - Trade Compliance

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can check sales order and purchase order status if it is blocked by legal control.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Compliance Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Documents - Trade Compliance (F2826). | The Manage Documents - Trade Compliance view displays. |  |
| 3 | Sales Document Worklist | In the overview view, make the following entries and  Choose Go.  Document Number: XXXX  Sales order number XXXX is created in the previous step. | Sales document XXXX displays with Blocking Status Blocked. |  |
| 4 | Document Worklist | In the overview screen, make the following entries:  Document Number: XXXX  Choose Go.  Purchase Order number XXXX is created in the previous step. | Purchase order document XXXX displays with Blocking Status Blocked. |  |

## Manage Legal Control Rule for License Types based on Control Class and Country

### Maintain License Types based on Control Class and Country

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can maintain the rules of License Type based on Control Class and Country, and you release sales documents and purchase orders with an assigned license number.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Compliance Specialist. | The SAP Fiori Launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Legal Control Rules (W0127). | The Legal Control Rule Maintenance view displays. |  |
| 3 | Legal Control Rules | In the filter bar, make the following entries:  Show Rules for: Legal Regulation for International Trade  Rule: License Type based on Control Class and Country  You can also choose Rule of License Type based on Control Grouping and Country. | The Table Contents view displays. |  |
| 4 | Maintain Business Rules | Choose Edit.  Choose Add.  Make the following entries with two lines:  First line:  Legal Regulation: Direct value input : is equal to ITR01.  Choose OK.  Control Class: Direct value input : is equal to CC01\_TEST.  Choose OK.  Partner Country: Direct value input: is equal to US(the USA).  Choose OK.  Sequence Number: Direct value input: Sequence Number: 1.  Choose OK.  License Type: Direct value input: License Type: LTY01.  Choose OK.  Choose Activate.  Choose Yes in Confirm Activation.  Second line:  Legal Regulation: Direct value input : is equal to ITR01.  Choose OK.  Control Class: Direct value input : is equal to CC01\_TEST.  Choose OK.  Partner Country: Direct value input: is equal to US(the USA).  Choose OK.  Sequence Number: Direct value input: Sequence Number: 1.  Choose OK.  License Type: Direct value input: License Type: LTY02.  Choose OK.  Choose Activate.  Choose Yes in Confirm Activation.  If you choose the Rule of License Type based on Control Grouping and Country, the Control Grouping could be maintained as CG01\_TEST in the previous step. | Objects are saved and activated. |  |

### Option 1: Create Sales Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create sales order to trigger legal control export license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as an Internal Sales Representative. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Create Sales Orders - VA01 (VA01). | The Create Standard Order: Overview view displays. |  |
| 3 | Create Sales Order | Make the following entries:  Order Type: OR  Sales Organization: 1010  Distribution Channel: 10  Division: 00  Choose Continue. |  |  |
| 4 | Enter Sales Order Item Data and Save | Make the following entries:  Sold-To Party: 10100050  Ship-To Party:10100050  Cust. Reference: Legal control testing  Material : TG11  Order Quantity: 1  Plant: 1010  Choose Save. | Standard Order XXXX is saved. |  |

### Option 2: Create Purchase Order

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can create a purchase order which triggers Legal Control import license check.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori launchpad as a Purchaser. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Create Purchase Order - Advanced (ME21N). | The Create Purchase Order - Advanced view displays. |  |
| 3 | Create Advanced Purchase Order | Make all the following entries:  Order type: NB Standard PO  Supplier : Above created US supplier <XXXX>.  In the Header section, on the Org. Data tab,  Purchasing Org.: 1010  Purchasing Group: 001  Company Code: 1010  In the Items section,  Material :TG11  Plant: 1010  Quantity: x  Net Price: x. |  |  |
| 4 | Save Purchase Order | Choose Save. | The Purchase order is saved with number XXXXX. |  |

### Manage Documents - Trade Compliance

Test Administration

Customer project: Fill in the project-specific parts.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Test Case ID | <X.XX> | Tester Name |  | Testing Date | Enter a test date. |
| Business Role(s) |  | | | | |
| Responsibility | <State the Service Provider, Customer or Joint Service Provider and Customer> | | | Duration | Enter a duration. |

Purpose

In this process step, you can check sales order and purchase order status if it is released by license number assignment.

Procedure

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Test Step # | Test Step Name | Instruction | Expected Result | Pass / Fail / Comment |
| 1 | Log On | Log on to the SAP Fiori Launchpad as a Trade Compliance Specialist. | The SAP Fiori launchpad displays. |  |
| 2 | Access the SAP Fiori App | Open Manage Documents - Trade Compliance (F2826). | The Manage Documents - Trade Compliance view displays. |  |
| 3 | Sales Document Worklist | In the overview view, make the following entries:  Document Number: XXXX  Choose GO.  Sales order numberXXXX is created in previous step. | Sales document XXXX displays with Blocking Status Released. |  |
| 4 | Document Worklist | In the overview view, make the following entries:  Document Number: XXXX  Choose GO.  Purchase order number XXXX is created in previous step. | Purchase order XXXX is displayed with Blocking Status Released. |  |

Typographic Conventions

|  |  |
| --- | --- |
| Type Style | Description |
| Example | Words or characters quoted from the screen. These include field names, screen titles, pushbuttons labels, menu names, menu paths, and menu options.  Textual cross-references to other documents. |
| Example | Emphasized words or expressions. |
| EXAMPLE | Technical names of system objects. These include report names, program names, transaction codes, table names, and key concepts of a programming language when they are surrounded by body text, for example, SELECT and INCLUDE. |
| Example | Output on the screen. This includes file and directory names and their paths, messages, names of variables and parameters, source text, and names of installation, upgrade and database tools. |
| Example | Exact user entry. These are words or characters that you enter in the system exactly as they appear in the documentation. |
| <Example> | Variable user entry. Angle brackets indicate that you replace these words and characters with appropriate entries to make entries in the system. |
| EXAMPLE | Keys on the keyboard, for example, F2 or ENTER. |

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